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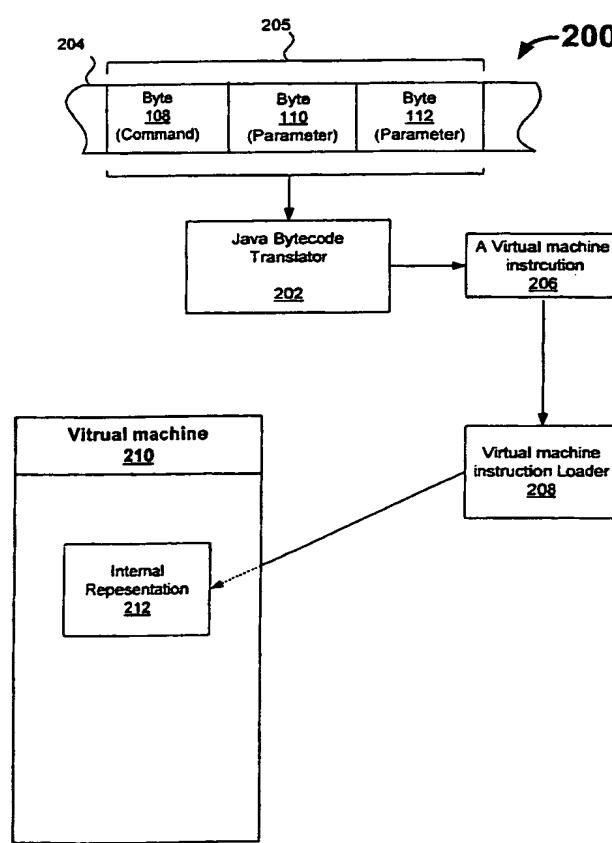
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(54) Title: REDUCED INSTRUCTION SET FOR JAVA VIRTUAL MACHINES



(57) Abstract: Techniques for implementing virtual machine instructions suitable for execution in virtual machines are disclosed. The inventive virtual machine instructions can effectively represent the complete set of operations performed by the conventional Java Bytecode instruction set. Moreover, the operations performed by conventional instructions can be performed by relatively fewer inventive virtual machine instructions. Thus, a more elegant, yet robust, virtual machine instruction set can be implemented. This, in turn, allows implementation of relatively simpler interpreters as well as allowing alternative uses of the limited 256 (28) Bytecode representation (e.g., a macro representing a set of commands). As a result, the performance of virtual machines, especially, those operating in systems with limited resources, can be improved by using the inventive virtual machine instructions.



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## PATENT APPLICATION

**REDUCED INSTRUCTION SET FOR JAVA VIRTUAL MACHINES**

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BACKGROUND OF THE INVENTION

The present invention relates generally to object-based high level programming environments, and more particularly, to virtual machine instruction sets suitable for execution in virtual machines operating in portable, platform independent programming environments

10 Recently, the Java™ programming environment has become quite popular. The Java™ programming language is a language that is designed to be portable enough to be executed on a wide range of computers ranging from small devices (e.g., pagers, cell phones and smart cards) up to supercomputers. Computer programs written in the Java programming  
15 language (and other languages) may be compiled into Java Bytecode instructions that are suitable for execution by a Java virtual machine implementation.

20 The Java virtual machine is commonly implemented in software by means of an interpreter for the Java virtual machine instruction set but, in general, may be software, hardware, or both. A particular Java virtual machine implementation and corresponding support libraries, together constitute a Java™ runtime environment.

25 Computer programs in the Java programming language are arranged in one or more classes or interfaces (referred to herein jointly as classes or class files). Such programs are generally platform, i.e., hardware and operating system, independent. As such, these computer programs may be executed without modification, on any computer that is able to run an implementation of the Java™ runtime environment. A class written in the Java programming language is compiled to a particular binary format called

the "class file format" that includes Java virtual machine instructions for the methods of a single class. In addition to the Java virtual machine instructions for the methods of a class, the class file format includes a significant amount of ancillary information that is associated with the class.

5     The class file format (as well as the general operation of the Java virtual machine) is described in some detail in The Java Virtual Machine Specification by Tim Lindholm and Frank Yellin (ISBN 0-201-31006-6), which is hereby incorporated herein by reference.

Conventional virtual machines interpreter decodes and executes the  
10   Java Bytecode instructions, one instruction at a time during execution, e.g.,  
      "at runtime." To execute a Java instruction, typically, several operations  
      have to been performed to obtain the information that is necessary to  
      execute the Java instruction. For example, to invoke a method referenced  
15   by a Java bytecode, the virtual machine must perform several operations to  
      access the Constant Pool simply to identify the information necessary to  
      locate and access the invoked method.

As described in The Java Virtual Machine Specification, one of the  
structures of a standard class file is known as the "Constant Pool." The  
Constant Pool is a data structure that has several uses. One of the uses of  
20   the Constant Pool that is relevant to the present invention is that the  
      Constant Pool contains the information that is needed to resolve various  
      Java Instructions. To illustrate, Fig. 1 depicts a conventional computing  
      environment 100 including a stream of Java Bytecodes 102, a constant  
      pool 104 and an execution stack 106. The stream of Java Bytecodes 102  
25   represents a series of bytes in a stream where one or more bytes can  
      represent a Java Bytecode instruction. For example, a byte 108 can  
      represent a Ldc (load constant on the execution stack) Bytecode command  
      108. Accordingly, the bytes 110 and 112 represent the parameters for the  
      Ldc Bytecode comand 108. In this case, these bytes respectively represent  
30   a CP-IndexA 100 and CP-IndexB 112 that collectively represent the index  
      to appropriate constant value in the constant pool 104. For example, bytes

C1, C2, C3 and C4 of the constant pool 104 can collectively represent the appropriate 4 byte (one word) constant C that is to loaded to the top of the execution stack 106. It should be noted that Ldc Bytecode command 108 and its parameters represented by bytes 110 and 112 are collectively referred to herein as a Java Bytecode instruction.

In order to execute the Java Bytecode Ldc Instruction 108, at run time, an index to the Constant Pool 104 is constructed from the CP-IndexA and CP-IndexB. Once an index to the Constant Pool has been determined, the appropriate structures in the Constant Pool have to be accessed so that the appropriate constant value can be determined. Accordingly, the Java Bytecode Ldc instruction can be executed only after performing several operations at run time. As can be appreciated from the example above, the execution of a relatively simple instruction such as loading a constant value can take a significant amount of run time. Hence, this conventional technique is an inefficient approach that may result in significantly longer execution times.

Another problem is that the conventional Java Bytecode instruction set has more than 220 instructions. Moreover, there is a significant amount of redundancy between some instructions in the conventional Java Bytecode instruction set. For example, there are different Java Bytecode instructions for storing (or pushing) integer local variables on the execution stack (e.g., iLoad), and storing (or pushing) a pointer local variable on the execution stack (e.g., aLoad). However, the operations performed by these instructions are virtually identical, namely, storing (or pushing) 4 byte values (a word) on the execution stack. There is also a significant amount of overlap between some instructions of the conventional Java Bytecode instruction set. For example, there are 5 different Java Bytecode instructions for pushing one byte integer values on the execution stack (i.e., iconst\_1, iconst\_2, iconst\_3, iconst\_4 and iconst\_5). However, these operations virtually perform the same operations, namely, pushing a constant one byte integer value on the execution stack.

As noted above, the Java Bytecode instruction set has more than 220 instructions. This means that conventionally nearly all of the 256 ( $2^8$ ) allowable Bytecode values have to be assigned to Java instructions (commands or opcodes). As a result, Java interpreters are needlessly complex since they need to recognize a relatively large number of Java instructions and possibly implement various mechanisms for executing many instructions. Thus, the conventional Java Bytecode instruction set is not a very desirable solution for systems with limited resources (e.g., embedded systems)

10 Accordingly, there is a need for alternative instructions suitable for execution in virtual machines.

### SUMMARY OF THE INVENTION

15 To achieve the foregoing and other objects of the invention, techniques for implementing virtual machine instructions suitable for execution in virtual machines are disclosed. The inventive virtual machine instructions can effectively represent the complete set of operations performed by the conventional Java Bytecode instruction set. Moreover, the operations performed by conventional instructions can be performed by relatively fewer inventive virtual machine instructions. Furthermore, the inventive virtual machine instructions can be used to perform operations that cannot readily be performed by conventional Java Bytecode

20 instructions. Thus, a more elegant yet robust virtual machine instruction set can be implemented. This in turn allows implementation of relatively simpler interpreters as well as allowing alternative uses of the limited 256 ( $2^8$ ) Bytecode representation (e.g., a macro representing a set of commands). As a result, the performance of virtual machines, especially,

25 those operating in systems with limited resources, can be improved.

The invention can be implemented in numerous ways, including a system, an apparatus, a method or a computer readable medium. Several embodiments of the invention are discussed below.

As a set of virtual machine instructions suitable for execution in a virtual machine, one embodiment of the invention provides a set of virtual machine instructions representing a number of corresponding Java Bytecode executable instructions that are also suitable for execution in the virtual machine. The set of the virtual machine instructions consists of a number of virtual machine instructions which is less than the number of the corresponding Java Bytecode executable instructions. In addition, every one of the corresponding Java Bytecode executable instructions can be represented by at least one of the virtual machine instructions in the virtual machine instruction set.

As a method of converting a set of Java Bytecode executable instructions into a set of executable virtual machine instructions, one embodiment of the invention includes the acts of: receiving one or more bytes representing a Java Bytecode instruction suitable for execution in a virtual machine; selecting a corresponding virtual machine instruction. The corresponding virtual machine instruction are suitable for execution in the virtual machine and represent one or more operations that can be performed when the Java Bytecode instruction is executed. In addition, the virtual machine instruction can represent at least two or more Java Bytecode executable instructions such that operations that can be performed by executing the at least two or more Java Bytecode executable instructions can be performed by execution of the virtual machine instruction.

As a Java Bytecode instruction translator, one embodiment of the invention operates to convert a set of Java Bytecode executable instructions suitable for execution on a virtual machine into a set of corresponding executable virtual machine instructions. The corresponding virtual machine instructions are also suitable for execution in the virtual

machine and represent operations that can be performed by execution of a number of corresponding Java Bytecode instructions. In addition, the corresponding set of the virtual machine instructions consists of a number of virtual machine instructions that is less than the number of the 5 corresponding Java Bytecode executable instructions.

Other aspects and advantages of the invention will become apparent from the following detailed description, taken in conjunction with the accompanying drawings, illustrating by way of example the principles of the invention.

10

#### BRIEF DESCRIPTION OF THE DRAWINGS

The present invention will be readily understood by the following 15 detailed description in conjunction with the accompanying drawings, wherein like reference numerals designate like structural elements, and in which:

Fig. 1 depicts a conventional computing environment including a stream of Java Bytecodes, a constant pool, and an execution stack.

20 Fig. 2A is a block diagram representation of a computing environment including a Java Bytecode instruction translator in accordance with one embodiment of the invention.

Fig. 2B illustrates a mapping that can be performed by the Java Bytecode instruction translator of Fig. 2A in accordance with one 25 embodiment of the invention.

Fig. 3 illustrates an internal representation of Java instructions in accordance with one embodiment of the invention.

Fig. 4A illustrates an internal representation of a set of Java Load Constant Bytecode instructions in accordance with one embodiment of the invention.

5 Fig. 4B illustrates a set of conventional Java Bytecode instructions that can be represented by an inventive Push command.

Fig. 4C illustrates an internal representation of a set of conventional Java Load Constant Bytecode instructions in accordance with another embodiment of the invention.

10 Fig. 4D illustrates a set of conventional Java Bytecode instructions that can be represented by a single PushL command in accordance with one embodiment of the invention.

Fig. 4E illustrates an internal representation of a set of Java Load Constant Bytecode instructions in accordance with yet another embodiment of the invention.

15 Fig. 4F illustrates a set of Java Bytecode instructions that can be represented by a single PushB command in accordance with one embodiment of the invention.

Fig. 5A illustrates an internal representation of a set of Java Load from a local variable instructions in accordance with another embodiment of the invention.

20 Fig. 5B illustrates a set of Java Bytecode instructions for loading 4 byte local variables that can be represented by an inventive Load command in accordance with one embodiment of the invention.

Fig. 5C illustrates a set of Java Bytecode instructions for loading 8 byte local variables in accordance with one embodiment of the invention.

25 Fig. 6A illustrates a computing environment including an Aload (load from array) virtual machine instruction in accordance with one embodiment of the invention.

Fig. 6B illustrates a set of conventional Java Bytecode instructions for loading arrays that can be represented by a single inventive virtual machine instruction in accordance with one embodiment of the invention.

5 Fig. 6C illustrates a computing environment including an AStore (store into array) virtual machine instruction in accordance with one embodiment of the invention.

Fig. 6D and 6E illustrate a set of conventional Java Bytecode instructions for storing arrays that can be represented by a single inventive virtual machine instruction.

10 Fig. 6F and 6G illustrate some Java conventional Bytecode instructions for performing conditional flow operations which can be represented by two inventive virtual machine instructions in accordance with one embodiment of the invention.

15 Fig. 7A illustrates a computing environment including an internal representation of a DUP instruction suitable for duplicating values on the stack in accordance with one embodiment of the invention.

Figs. 7B and 7C illustrate various Java Bytecode instructions that can be represented by an inventive virtual machine instruction in accordance with one embodiment of the invention.

20 Figs. 8A and 8B illustrate mapping of Java Bytecode return instructions to virtual machine instructions provided in accordance with one embodiment of the invention.

25 Fig. 9 illustrates a mapping of Java Bytecode instantiation instructions to the virtual machine instructions provided in accordance with one embodiment of the invention

#### DETAILED DESCRIPTION OF THE INVENTION

As described in the background section, the Java programming environment has enjoyed widespread success. Therefore, there are

continuing efforts to extend the breadth of Java compatible devices and to improve the performance of such devices. One of the most significant factors influencing the performance of Java based programs on a particular platform is the performance of the underlying virtual machine. Accordingly,

5 there have been extensive efforts by a number of entities to improve performance in Java compliant virtual machines.

To achieve this and other objects of the invention, techniques for implementing virtual machine instructions suitable for execution in virtual machines are disclosed. The inventive virtual machine instructions can 10 effectively represent the complete set of operations performed by the conventional Java Bytecode instruction set. Moreover, the operations performed by conventional instructions can be performed by relatively fewer inventive virtual machine instructions. Furthermore, the inventive virtual machine instructions can be used to perform operations that cannot readily 15 be performed by the conventional Java Bytecode instructions. Thus, a more elegant yet robust virtual machine instruction set can be implemented. This, in turn, allows implementation of relatively simpler interpreters as well as allowing for alternative uses of the limited 256 ( $2^8$ ) Bytecode representation (e.g., a macro representing a set of commands). As a 20 result, the performance of virtual machines, especially, those operating in systems with limited resources, can be improved.

Embodiments of the invention are discussed below with reference to Figs. 2 –9. However, those skilled in the art will readily appreciate that the detailed description given herein with respect to these figures is for explanatory purposes only as the invention extends beyond these limited embodiments.

Fig. 2A is a block diagram representation of a computing environment 200 including a Java Bytecode instruction translator 202 in accordance with one embodiment of the invention. The Java Bytecode instruction translator 202 operates to convert one or more bytes of a Java 30 Bytecode stream 204, representing a Java Bytecode instruction 205 into a virtual machine instruction 206 containing one or more bytes. The Java

Bytecode instruction 205 in the Java Bytecode stream 204 can be, for example, a "Lcd" command 108 with its associated parameters 110 and 112, as described in Fig. 1.

Typically, one byte of the virtual machine instruction 206 is  
5 designated to represent a virtual machine command (or opcode). In addition, one or more additional bytes may be associated with the virtual machine command (or opcode) to represent its parameters. As a result, one or more bytes of the virtual machine instruction 206 can represent a Java Bytecode instruction having one or more bytes that collectively  
10 represent a Java Bytecode instruction, namely a command and possibly the parameters associated with the command (e.g., a one byte Java `iconst_1` Bytecode instruction, three bytes representing Java Bytecode `Lcd` instruction, etc).

As will be appreciated, the virtual machine instruction 206 can  
15 represent similar virtual machine operations that the Java Bytecode instruction 205 represents. In addition, the virtual machine instruction 206 can be loaded by a virtual machine instruction loader 208 into a virtual machine 210 as an internal representation 212. As will become apparent, the internal representation 212 can be used to significantly improve the  
20 performance of the virtual machine.

Furthermore, the Java Bytecode instruction translator 202 is capable of converting a set of Java Bytecode executable instructions into a more elegant set of instructions that is especially suitable for systems with limited resources. The operations performed by a conventional Bytecode  
25 instruction set can effectively be represented by fewer inventive virtual machine instructions. Accordingly, the number of the executable virtual machine instructions can be significantly less than the number of conventional Java Bytecode executable instructions needed to perform the same set of operations. In other words, two or more distinct conventional  
30 Java Bytecode executable instructions can effectively be mapped into an inventive virtual machine instruction.

To elaborate, Fig. 2B illustrates a mapping 250 that can be performed, for example, by the Java Bytecode instruction translator 202 in accordance with one embodiment of the invention. As illustrated in Fig. 2B, a set of conventional Java Bytecode executable instructions 252 can be mapped into a corresponding set of inventive virtual machine instructions 254. It should be noted that the set of Java Bytecode executable instructions 252 consists of M instructions, Bytecode Instructions (BC<sub>1</sub>-BC<sub>M</sub>). It should also be noted that each of the Byte code Instructions BC<sub>1</sub>-BC<sub>M</sub> represent a unique instruction in the set of Java Bytecode executable instructions 252. As will be appreciated, the corresponding set of executable virtual machine instructions 254 consists of N instructions (AVM<sub>1</sub>- AVM<sub>N</sub>), a number that can be significantly less than M (the number of Java Bytecode executable instructions 252). Accordingly, two or more Byte code Instructions of the Java Bytecode executable instructions 252 can be mapped into the same executable virtual machine instruction. For example, Bytecode Instructions BC<sub>i</sub>, BC<sub>j</sub> and BC<sub>k</sub> can all be mapped into the same virtual machine executable instruction, namely, the instruction AVM<sub>1</sub>. In addition, as will be described below, two or more inventive virtual machine instructions from the set 254 can be combined to effectively represent a Java Bytecode instruction in the set 252.

As noted above, a virtual machine instruction, for example, the instruction AVM<sub>1</sub>, can be loaded by a virtual machine instruction loader into a virtual machine as an internal representation that can be used to significantly improve the performance of the virtual machine. Fig. 3 illustrates an internal representation 300 in accordance with one embodiment of the invention. The internal representation 300 can, for example, be implemented as a data structure embodied in a computer readable medium that is suitable for use by a virtual machine. As shown in Fig. 3, the internal representation 300 includes a pair of streams, namely, a code stream 302 and a data stream 304.

It should be noted that conventionally Java Bytecode instructions are internally represented as a single stream in the virtual machine. However, as shown in Fig. 3, the internal representation 300 includes a pair of streams, namely, a code stream 302 and a data stream 304. More details 5 about representing instructions as a pair of streams can be found in the U.S. Patent Application No. 09/703,449, entitled "IMPROVED FRAMEWORKS FOR LOADING AND EXECUTION OF OBJECT-BASED PROGRAMS".

Each one of the entries in the code stream 302 and/or data stream 10 304 represent one or more bytes. The code stream 302 includes various virtual machine commands (or instructions) 306, 308 and 310. The virtual machine commands (or instruction) 306 represents a virtual machine instruction that does not have any parameters associated with it. On the other hand, each of the virtual machine commands B and C have 15 associated data parameters that are represented in the data stream 304. More particularly, data B is the corresponding data parameter of the virtual machine command B, and data C1 and C2 are the data parameters associated with the command C.

It should be noted that the inventive virtual machine command B and 20 data B represents one or more conventional Java Bytecodes which have been converted, for example, by the Java Bytecode instruction translator 202 of Fig. 2A. Similarly, the virtual machine command C, data C1 and C2 collectively represent the one or more Java Bytecodes that have been 25 converted into an inventive virtual machine instruction with its appropriate data parameters.

Fig. 4A illustrates an internal representation 400 of a set of Java Load Constant Bytecode instructions in accordance with one embodiment of the invention. The internal representation 400 can, for example, be implemented as a data structure embodied in a computer readable medium 30 that is suitable for use by a virtual machine.

In the described embodiment, each entry in the code stream 402 and data stream 404 represents one byte. As such, the code stream 402 includes a one byte Push command 406, representing an inventive virtual machine command suitable for representation of one or more conventional Java Load Constant Bytecode instructions. The data stream 404 includes the data parameters associated with the Push command 406, namely, bytes A, B, C and D. As will be appreciated, at execution time, the virtual machine can execute the Push command 406. Accordingly, the value represented by the bytes A, B, C and D in the data stream 404 can be pushed on the execution stack. In this way, the Push command 406 can effectively represent various Java Bytecode instructions that push values represented by 4 bytes (one word) on the execution stack at run time. Fig. 4B illustrates a set of conventional Java Bytecode instructions that can be represented by an inventive Push command (e.g., Push command 406).

Fig. 4C illustrates an internal representation 410 of a set of conventional Java Load Constant Bytecode instructions in accordance with another embodiment of the invention. Similar to the internal representation 400 of Fig. 4A, the internal representation 410 includes a pair of streams, namely, the code stream 402 and data stream 404, wherein each entry in the streams represents one byte. However, in Fig. 4B, the code stream 402 includes a one byte PushL command 412, representing another inventive virtual machine instruction suitable for representation of one or more Java Load Constant Bytecode instructions. It should be noted that the PushL command 412 has 8 bytes of data associated with it, namely, the bytes represented by A, B, C, D, E, F, G and H in the data stream 404. At execution time, the virtual machine can execute the PushL command 412 to push the value represented by the bytes A, B, C, D, E, F, G and H in the data stream 404, on the top of the execution stack. Accordingly, the PushL command 412 can effectively represent various Java Bytecode instructions that push 8 byte (two word) values on the execution stack at run time. Fig. 4D illustrates a set of conventional Java Bytecode instructions that can be

represented by a single PushL command (e.g., PushL command 412) in accordance with one embodiment of the invention.

Fig. 4E illustrates an internal representation 420 of a set of Java Load Constant Bytecode instructions in accordance with yet another embodiment of the invention. Again, the internal representation 420 includes the code stream 402 and data stream 404, wherein each entry in the streams represents one byte. However, in Fig. 4E, the code stream 402 includes a one byte PushB command 422, representing yet another inventive virtual machine instruction suitable for representation of one or more Java Load Constant Bytecode instructions. It should be noted that the PushB command 422 has a one byte data parameter A associated with it. As shown in Fig. 4E, the data parameter can be stored in the code stream 402. However, it should be noted that in accordance with other embodiment of the invention, the data parameter A can be stored in the data stream 404. In any case, the PushB command 422 can effectively represent various Java Bytecode instructions that push one byte values on the execution stack at run time. Fig. 4F illustrates a set of Java Bytecode instructions that can be represented by a single PushB command (e.g., PushB command 422) in accordance with one embodiment of the invention.

Fig. 5A illustrates an internal representation 500 of a set of Java "Load from a local variable" instructions in accordance with another embodiment of the invention. In the described embodiment, a code stream 502 of the internal representation 500 includes a Load command 506, representing an inventive virtual machine instruction suitable for representation of one or more Java "Load from a local variable" Bytecode instructions. It should be noted that the Load command 506 has a one byte parameter associated with it, namely, an index<sub>i</sub> 508 in the data stream 504. As will be appreciated, at run time, the Load command 506 can be executed by a virtual machine to load (or push) a local variable on top of the execution stack 520. By way of example, an offset<sub>0</sub> 522 can indicate the starting offset for the local variables stored on the execution stack 520.

Accordingly, an offset<sub>4</sub> 524 identifies the position in the execution stack 520 which corresponds to the index<sub>4</sub> 508 shown in Fig. 5A.

It should be noted that in the described embodiment, the Load command 506 is used to load local variables as 4 bytes (one word). As a result, the value indicated by the 4 bytes A, B, C and D (starting at offset<sub>4</sub> 524 ) is loaded on the top of the execution stack 520 when the Load command 506 is executed. In this manner, the Load command 506 and index<sub>4</sub> 508 can be used to load (or Push) 4 byte local variables on top of the execution stack at run time. As will be appreciated, the Load command 506 can effectively represent various conventional Java Bytecode instructions.

Fig. 5B illustrates a set of Java Bytecode instructions for loading 4 byte local variables that can be represented by an inventive Load command (e.g., Load command 412) in accordance with one embodiment of the invention.

It should be noted that the invention also provides for loading local variables that do not have values represented by 4 bytes. For example, Fig. 5C illustrates a set of Java Bytecode instructions for loading 8 byte local variables in accordance with one embodiment of the invention. As will be appreciated, all of the Java Bytecode instructions listed in Fig. 5C can be represented by a single inventive virtual machine instruction (e.g., a LoadL command). The LoadL command can operate, for example, in a similar manner as discussed above.

In addition, the invention provides for loading values from arrays into an execution stack. By way of example, Fig. 6A illustrates a computing environment 600 in accordance with one embodiment of the invention. The computing environment 600 includes an array 602 representative of a Java array stored in a portion of a memory of the computing environment 600. An execution stack 604 is also depicted in Fig. 6. As will be appreciated, an inventive virtual machine instruction ALoad (array load) 605 can be utilized to facilitate loading of various values from the array 602 to the top of the execution stack 604.

During the execution of the virtual machine instruction ALoad 605, an array-reference 606 can be utilized (e.g., resolved) to determine the location of the array 602. In addition, an array-index 608 can be used to identify the appropriate offset of the array 602 and thereby indicate the 5 appropriate value that is to be loaded from the array 602 on the execution stack 604. As will be appreciated, the inventive virtual machine instruction ALoad can be used to load the appropriate values from various types of arrays (e.g., 1 byte, 2 bytes, 4 bytes, 8bytes arrays). To achieve this, a header 610 of the array 602 can be read to determine the arrays' type.

10 Accordingly, based on the type of the array 602 as indicated by the header 610, the appropriate value that is to be loaded from the array can be determined by using the array-index 608. This value can then be loaded onto the top of the execution stack 604.

Thus, the inventive virtual machine instruction ALoad can effectively represent various Java Bytecode instructions that are used to load values from an array. Fig. 6B illustrates a set of conventional Java Bytecode instructions for loading arrays that can be represented by a single inventive virtual machine instruction (e.g., ALoad ) in accordance with one embodiment of the invention.

15 As will be appreciated, the invention also provides for virtual machine instructions used to store values into arrays. By way of example, Fig. 6C illustrates a computing environment 620 in accordance with one embodiment of the invention. An inventive AStore 622 (store into array) virtual machine instruction can be used to store various values from the 20 execution stack 604 into different types of arrays in accordance with one embodiment of the invention. Again, the header 610 of the array 602 can be read to determine the array 's type. Based on the array's type, the appropriate value (i.e., the appropriate number of bytes N on the execution stack 604 of Fig. 6B) can be determined. This value can then be stored in 25 the array 602 by using the array-index 626. Thus, the inventive virtual machine instruction ALoad can effectively represent various Java Bytecode 30

instructions that are used to store values into an array. Figs. 6D and 6E illustrate a set of conventional Java Bytecode instructions for storing arrays that can be represented by an inventive virtual machine instruction (e.g., Astore) in accordance with one embodiment of the invention.

5 Still further, two or more of the inventive virtual machine instructions can be combined to perform relatively more complicated operations in accordance with one embodiment of the invention. By way of example, the conditional flow control operation performed by the Java Bytecode instruction "lcmp" (compare two long values on the stack and based on the 10 comparison push 0 or 1 on the stack) can effectively be performed by performing an inventive virtual machine instruction LSUB (Long subdivision) followed by another inventive virtual machine instruction JMPEQ (Jump if equal). Fig. 6F and 6G illustrate some Java conventional Bytecode 15 instructions for performing conditional flow operations which can be represented by two inventive virtual machine instructions in accordance with one embodiment of the invention.

The invention also provides for inventive operations that cannot be performed by Java Bytecode instructions. By way of example, an inventive virtual machine operation "DUP" is provided in accordance with one 20 embodiment of the invention. The inventive virtual machine instruction DUP allows values in various positions on the execution stack to be duplicated on the top of the execution stack. Fig. 7A illustrates a computing environment 700 including an internal representation 701 of a DUP 25 instruction 702 suitable for duplicating values on the stack in accordance with one embodiment of the invention. The internal representation 701 includes a pair of streams, namely, a code stream 402 and a data stream 404. In the described embodiment, each entry in the code stream 402 and data stream 404 represents one byte. The inventive virtual machine instruction DUP 702 is associated with a data parameter A in the code 30 stream 402. Again, it should be noted that Data parameter A can be implemented in the data stream 404. In any case, the data parameter A

indicates which 4 byte value (word value) on an execution stack 704 should be duplicated on the top of the execution stack 704. The data parameter A can indicate, for example, an offset from the top of the execution stack 704. As shown in Fig. 7A, the data parameter A can be a reference to W<sub>i</sub>, a word  
5 (4 byte) value on the execution stack. Accordingly, at execution time, the virtual machine can execute the DUP command 702. As a result, the W<sub>i</sub> word will be duplicated on the top of the stack. Thus, as will be appreciated, the inventive DUP virtual machine instructions can effectively replace various Java Byte instructions that operate to duplicate 4 byte values on top of the execution. Fig. 7B illustrates some of these Java  
10 Bytecode instructions. Similarly, as illustrated in Fig. 7C, an inventive DUPL virtual machine can be provided to effectively replace various Java Bytecode instructions that operate to duplicate 8 byte values (2 words) on top of the execution stack.

15 It should be noted that conventional Java Bytecode instructions only allow for duplication of values in certain positions on the execution stack (i.e, dup, dup\_x1 and dupx2 respectively allow duplication of W1, W2 and W3 on the stack). However, the inventive virtual machine instructions DUP and DUPL can be used to duplicate a much wider range of values on the  
20 execution stack (e.g., W4, Wi, WN, etc.)

Figs. 8A and 8B illustrate mapping of Java Bytecode "Return" instructions to virtual machine instructions provided in accordance with one embodiment of the invention. As shown in Fig. 8A, various Java Bytecode instructions can be effectively mapped into a Return virtual machine  
25 instruction. As will be appreciated, the Return virtual machine instruction operates to put 4 byte values (one word) on the execution stack in a similar manner as the virtual machine instructions for loading constants on the stack described above (e.g., iload). Fig. 8B illustrates a mapping of Java Bytecode return instructions to a "Lreturn" virtual machine instruction that  
30 can operate to put 8 byte values (two words) on the execution stack.

In a similar manner, Fig. 9 illustrates a mapping of Java Bytecode instantiation instructions to the virtual machine instructions provided in accordance with one embodiment of the invention. Again, the four various Java Bytecode instructions can be effectively mapped into a virtual machine instruction (e.g., NEW). The virtual machine instruction NEW operates to instantiate objects and arrays of various types. In one embodiment, the inventive virtual machine instruction NEW operates to determine the types of the objects or arrays based on the parameter value of the Bytecode instantiation instructions. As will be appreciated, the Bytecode instructions for instantiation are typically followed by a parameter value that indicates the type. Thus, the parameter value is readily available and can be used to allow the NEW virtual machine instruction to instantiate the appropriate type at execution time.

Appendix A illustrates mapping of a set of conventional Java  
15 Bytecode instructions to one or more of the inventive virtual machine  
instructions listed in right column.

The many features and advantages of the present invention are apparent from the written description, and thus, it is intended by the appended claims to cover all such features and advantages of the  
20 invention. Further, since numerous modifications and changes will readily occur to those skilled in the art, it is not desired to limit the invention to the exact construction and operation as illustrated and described. Hence, all suitable modifications and equivalents may be resorted to as falling within the scope of the invention.

25

*What is claimed is:*

## Appendix A

nop	IGNORE_OPCODE
aconst_null	OP_PUSHB
iconst_m1	OP_PUSHB
iconst_0	OP_PUSHB
iconst_1	OP_PUSHB
iconst_2	OP_PUSHB
iconst_3	OP_PUSHB
iconst_4	OP_PUSHB
iconst_5	OP_PUSHB
lconst_0	OP_PUSHL
lconst_1	OP_PUSHL
fconst_0	OP_PUSH
fconst_1	OP_PUSH
fconst_2	OP_PUSH
dconst_0	OP_PUSHL
dconst_1	OP_PUSHL
bipush	OP_PUSHB
sipush	OP_PUSH
ldc	OP_PUSH
ldc_w	OP_PUSH
ldc2_w	OP_PUSHL
iload	OP_LOAD
lload	OP_LOADL
fload	OP_LOAD
dload	OP_LOADL
aload	OP_LOAD
iload_0	OP_LOAD
iload_1	OP_LOAD
iload_2	OP_LOAD
iload_3	OP_LOAD
lload_0	OP_LOADL
lload_1	OP_LOADL

lload_2	OP_LOADL
lload_3	OP_LOADL
fload_0	OP_LOADL
fload_1	OP_LOAD
fload_2	OP_LOAD
fload_3	OP_LOAD
dload_0	OP_LOADL
dload_1	OP_LOADL
dload_2	OP_LOADL
dload_3	OP_LOADL
aload_0	OP_LOAD
aload_1	OP_LOAD
aload_2	OP_LOAD
aload_3	OP_LOAD
iaload	OP_ALOAD
laload	OP_ALOAD
faload	OP_ALOAD
daload	OP_ALOAD
aaload	OP_ALOAD
baload	OP_ALOAD
caload	OP_ALOAD
saload	OP_ALOAD
istore	OP_STOR
lstore	OP_STORL
fstore	OP_STOR
dstore	OP_STORL
astore	OP_STOR
istore_0	OP_STOR
istore_1	OP_STOR
istore_2	OP_STOR
istore_3	OP_STOR
lstore_0	OP_STORL
lstore_1	OP_STORL
lstore_2	OP_STORL
lstore_3	OP_STORL

fstore_0	OP_STOR
fstore_1	OP_STOR
fstore_2	OP_STOR
fstore_3	OP_STOR
dstore_0	OP_STORL
dstore_1	OP_STORL
dstore_2	OP_STORL
dstore_3	OP_STORL
astore_0	OP_STOR
astore_1	OP_STOR
astore_2	OP_STOR
astore_3	OP_STOR
iastore	OP_ASTORE
lastore	OP_ASTOREL
fastore	OP_ASTORE
dastore	OP_ASTOREL
aastore	OP_ASTORE
bastore	OP_ASTORE
castore	OP_ASTORE
sastore	OP_ASTORE
pop	OP_POP
pop2	OP_POP
dup	OP_DUP
dup_x1	OP_DUP
dup_x2	OP_DUP
dup2	OP_DUPL
dup2_x1	OP_DUPL
dup2_x2	OP_DUPL
swap	OP_SWAP
iadd	OP_IADD
ladd	OP_LADD
fadd	OP_FADD
dadd	OP_DADD
isub	OP_ISUB
lsub	OP_LSUB

fsub	OP_FSUB
dsub	OP_DSUB
imul	OP_IMUL
lmul	OP_LMUL
fmul	OP_FMUL
dmul	OP_DMUL
idiv	OP_IDIV
ldiv	OP_LDIV
fdiv	OP_FDIV
ddiv	OP_DDIV
irem	OP_IREM
lrem	OP_LREM
frem	OP_FREM
drem	OP_DREM
ineg	OP_INEG
lneg	OP_LNEG
fneg	OP_FNEG
dneg	OP_DNEG
ishl	OP_ISHL
lshl	OP_LSHL
ishr	OP_ISHR
lshr	OP_LSHR
iushr	OP_IUSHR
lushr	OP_LUSHR
iand	OP_IAND
land	OP_LAND
ior	OP_IOR
lor	OP_LOR
ixor	OP_IXOR
lxor	OP_LXOR
iinc	OP_IINC
i2l	OP_I2L
i2f	IGNORE_OPCODE
i2d	OP_I2D
l2i	OP_L2I

12f	OP_L2F
12d	OP_L2D
f2i	IGNORE_OPCODE
f2l	OP_F2L
f2d	OP_F2D
d2i	OP_D2I
d2l	OP_D2L
d2f	OP_D2F
i2b	IGNORE_OPCODE
i2c	IGNORE_OPCODE
i2s	IGNORE_OPCODE
lcmp	OP_LSUB, OP_JMPEQ
fcmpl	OP_FSUB, OP_JMPLE
fcmpg	OP_FSUB, OP JMPGE
dcmpl	OP_DCMP, OP_JMPLE
dcmpg	OP_DCMP, OP JMPGE
ifeq	OP_JMPEQ
ifne	OP JMPNE
iflt	OP_JMPLT
ifge	OP JMPGE
ifgt	OP JMPGT
ifle	OP JMPLE
if icmp eq	OP_ISUB, OP_JMPEQ
if icmp ne	OP_ISUB, OP JMPNE
if icmp lt	OP_ISUB, OP_JMPLT
if icmp ge	OP_ISUB, OP JMPGE
if icmp gt	OP_ISUB, OP JMPGT
if icmp le	OP_ISUB, OP_JMPLE
if acmp eq	OP_ISUB, OP_JMPEQ
if acmp ne	OP_ISUB, OP JMPNE
goto	OP JMP
jsr	OP JSR
ret	OP RET
tableswitch	OP SWITCH
lookupswitch	OP SWITCH

ireturn	OP_RETURN
lreturn	OP_LRETURN
freturn	OP_RETURN
dreturn	OP_LRETURN
areturn	OP_RETURN
return	OP_RETURNV
getstatic	OP_RESOLVE
putstatic	OP_RESOLVEP
getfield	OP_RESOLVE
putfield	OP_RESOLVEP
invokevirtual	OP_RESOLVE
invokespecial	OP_RESOLVE
invokestatic	OP_RESOLVE
invokeinterface	OP_RESOLVE
xxxunusedxxx	IGNORE_OPCODE
new	OP_NEW
newarray	OP_NEW
anewarray	OP_NEW
arraylength	OP_ARRAYLENGTH
athrow	OP_THROW
checkcast	IGNORE_OPCODE
instanceof	OP_INSTANCEOF
monitorenter	OP_MUTEXINC
monitorexit	OP_MUTEXDEC
wide	OP_WIDE
multianewarray	OP_NEW
ifnull	OP_JMPEQ
ifnonnull	OP JMPNE
goto_w	OP JMP
jsr_w	OP JSR

**CLAIMS**

1. A set of virtual machine instructions suitable for execution in a virtual machine, the set of virtual machine instructions representing a number of corresponding Java Bytecode executable instructions that are also suitable for execution in the virtual machine,  
5 wherein the set of the virtual machine instructions consists of a number of virtual machine instructions which is less than the number of the corresponding Java Bytecode executable instructions, and  
10 wherein every one of the corresponding Java Bytecode executable instructions can be represented by at least one of the virtual machine instructions in the virtual machine instruction set.
- 15 2. A set of virtual machine instructions as recited in claim 1, wherein the number of virtual machine instructions is about 30 to 50 percent of the number of the corresponding Java Bytecode executable instructions.
- 20 3. A set of virtual machine instructions as recited in claim 1 or 2, wherein two or more Java Bytecode executable instructions are represented by one virtual machine instruction.
- 25 4. A set of virtual machine instructions as recited in any of the preceding claims wherein at least one of the Java Bytecode executable instructions can be represented by the two or more virtual machine instructions.
- 30 5. A set of virtual machine instructions as recited in claim 4, wherein the least one Java Bytecode executable instruction that can be represented by the two or more virtual machine instructions is a conditional data flow operation.

6. A set of virtual machine instructions as recited in any of the preceding claims, wherein the set includes at least one virtual machine instruction that represents at least one operation that cannot be represented by any one of the Java Bytecode executable instructions.

5

7. A set of virtual machine instructions as recited in claim 6, wherein the at least one virtual machine instruction that cannot be represented by any one of the Java Bytecode executable instructions represents a duplicate stack operation.

10

8. A set of virtual machine instructions as recited in any of the preceding claims, wherein at least one virtual machine instruction is internally represented in the virtual machine by a pair of streams.

15

9. A set of virtual machine instructions as recited in claim 8, wherein the pair of streams includes a code stream and a data stream,  
wherein the code stream is suitable for containing a code portion of the at least one virtual machine instruction,  
and the data stream is suitable for containing a data portion of the at least one virtual machine instruction.

20

10. A method of converting a set of Java Bytecode executable instructions into a set of executable virtual machine instructions, the method comprising:

25

receiving one or more bytes representing a Java Bytecode instruction suitable for execution in a virtual machine;  
selecting a corresponding virtual machine instruction, the corresponding virtual machine instruction suitable for execution in the virtual machine and representing one or more operations that can be performed when the Java Bytecode instruction is executed;  
30 and  
wherein the virtual machine instruction can represent at least two or more Java Bytecode executable instructions such that operations that can

be performed by executing the at least two or more Java Bytecode executable instructions can be performed by execution of the virtual machine instruction.

- 5      11. A method as recited in claim 10, wherein the method further comprises:  
          loading the virtual machine instruction into the virtual machine as an internal representation with a pair of streams.
- 10     12. A method as recited in claim 10 or 11, wherein the pair of streams includes a code stream and a data stream, the code stream suitable for containing a code portion of the at least one virtual machine instruction, and the data stream suitable for containing a data portion of the at least one virtual machine instruction.
- 15     13. A Java Bytecode instruction translator operating to convert a set of Java Bytecode executable instructions suitable for execution on a virtual machine into a set of corresponding executable virtual machine instructions, wherein the corresponding virtual machine instructions are also suitable for execution in the virtual machine and represent operations that can be performed by execution of a number of corresponding Java Bytecode instructions, and  
          wherein the corresponding set of the virtual machine instructions consists of a number of virtual machine instructions that is less than the number of the corresponding Java Bytecode executable instructions.
- 20     14. A Java Bytecode instruction translator as recited in claim 13, wherein two or more Java Bytecode executable instructions are represented by one virtual machine instruction.
- 25     15. A Java Bytecode instruction translator as recited in claim 13, wherein at least one of the Java Bytecode executable instructions can be represented by two or more virtual machine instructions.

16. A Java Bytecode instruction translator as recited in claim 15, wherein the least one Java Bytecode executable instruction is a conditional data flow operation.

5

17. A Java Bytecode instruction translator as recited in any of claims 13-16, wherein the set includes at least one virtual machine instruction that represents operations that cannot be represented by any one of the Java Bytecode executable instructions.

10

18. A Java Bytecode instruction translator as recited in claim 17, wherein the at least one virtual machine instruction represents a duplicate stack operation.

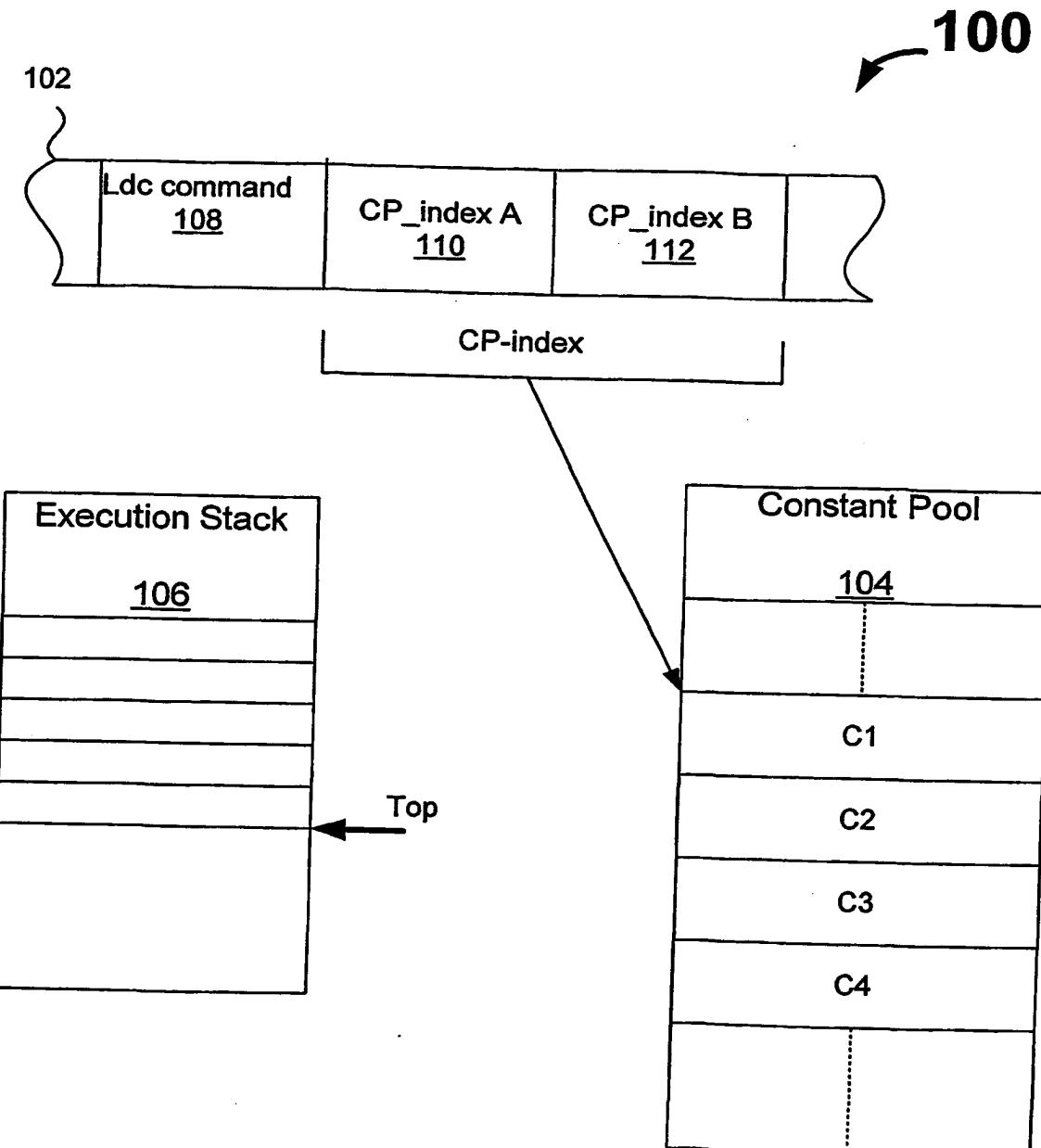
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19. A Java Bytecode instruction translator as recited in any of claims 13-18, wherein at least one virtual machine instruction is internally represented in the virtual machine by a pair of streams.

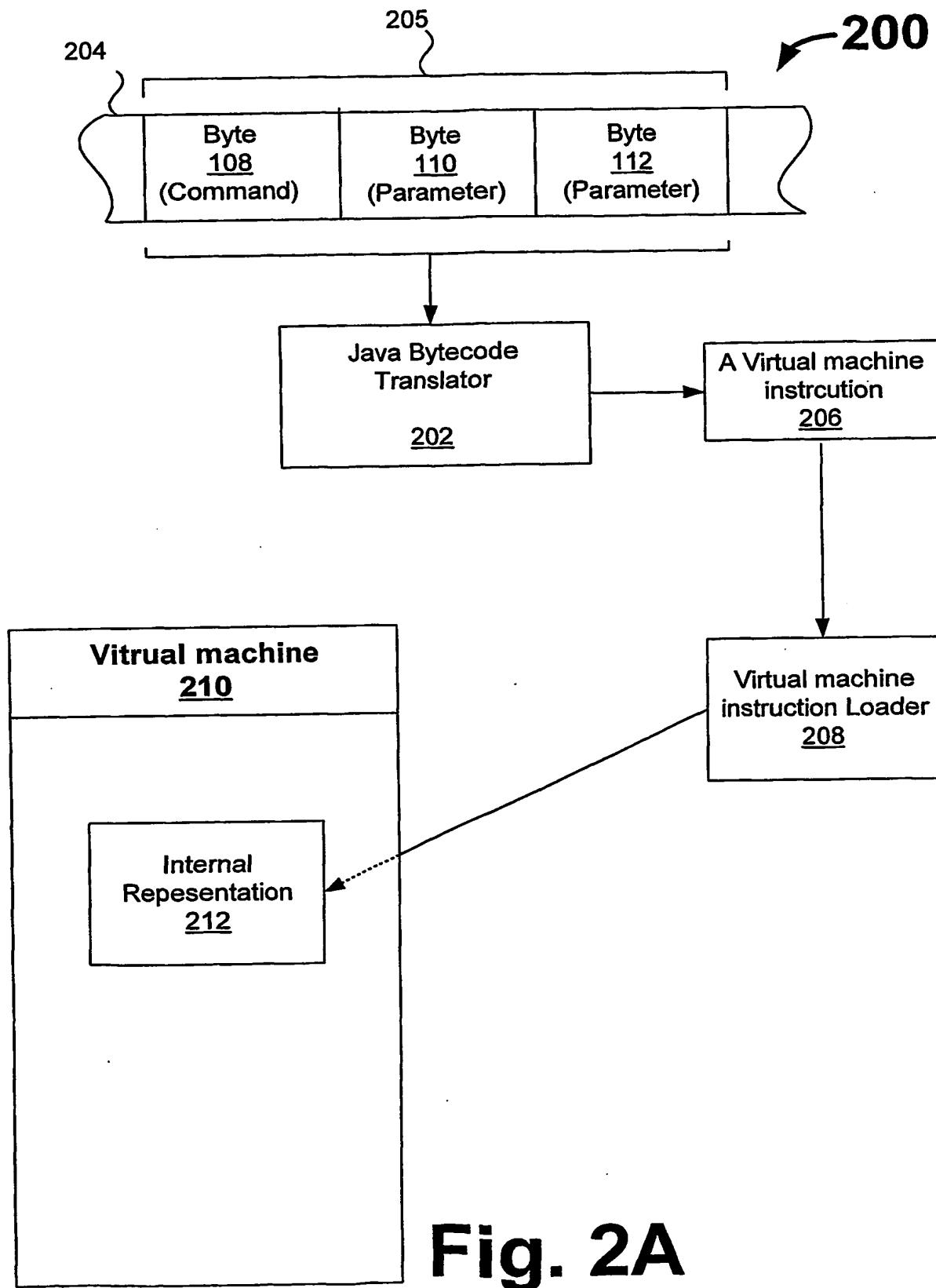
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20. A Java Bytecode instruction translator as recited in claim 19, wherein the pair of streams includes a code stream and a data stream, wherein the code stream is suitable for containing a code portion of the at least one virtual machine instruction, and wherein the data stream is suitable for containing a data portion of the at least one virtual machine instruction.

25



**Fig. 1**



250

252

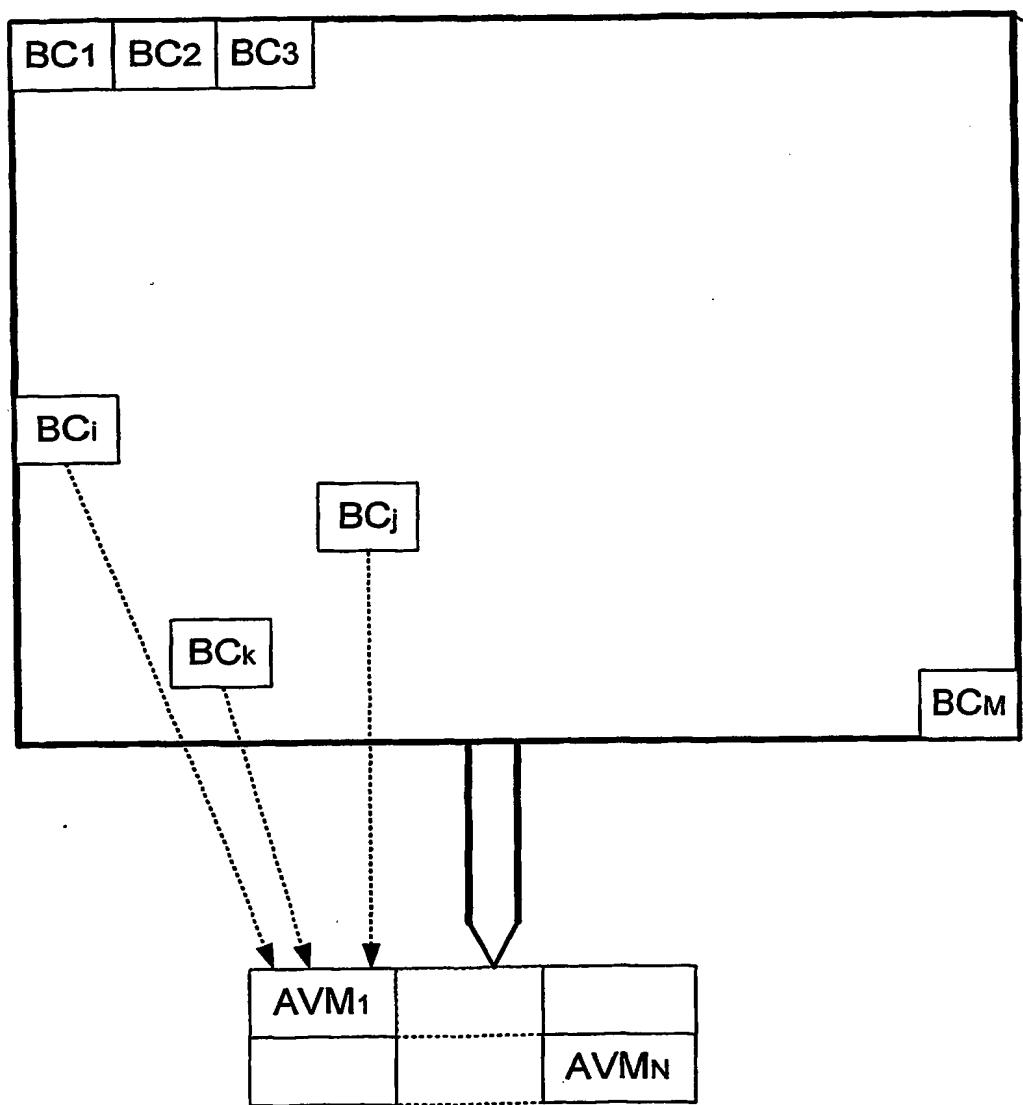
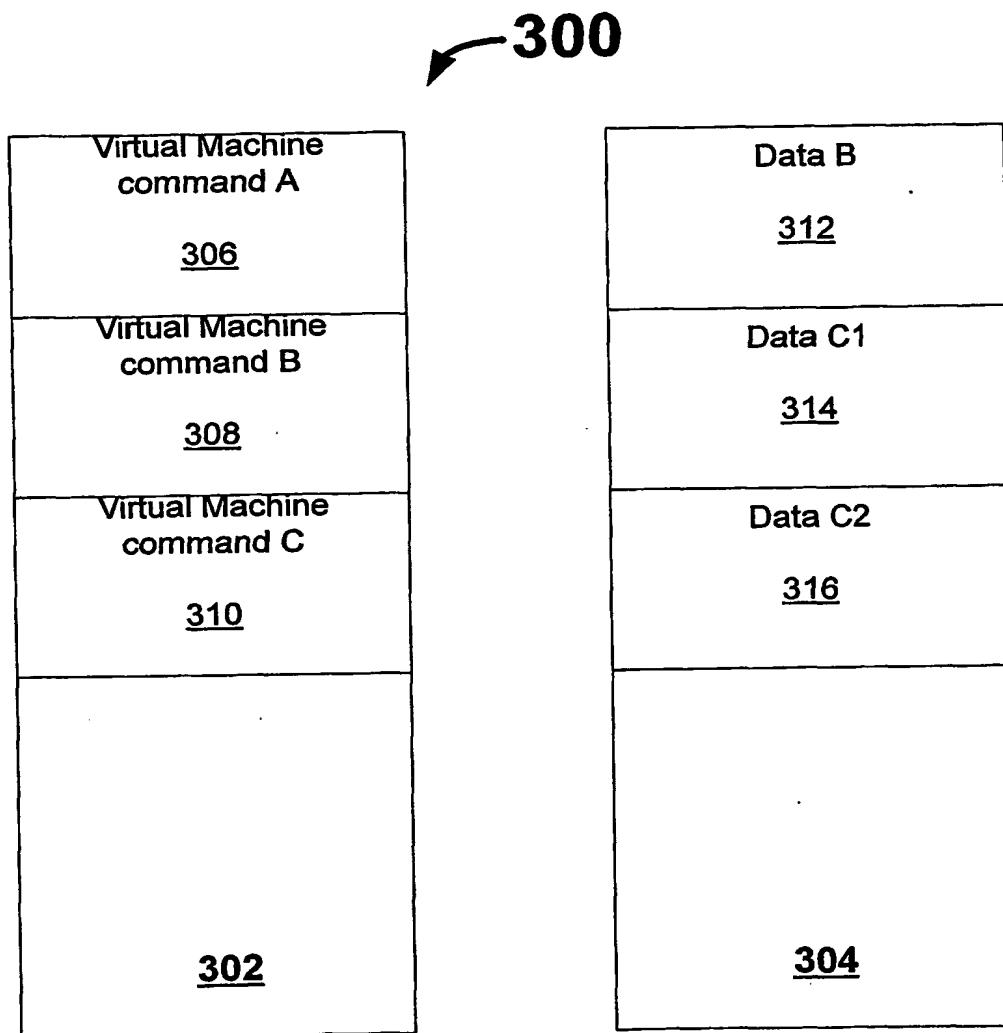
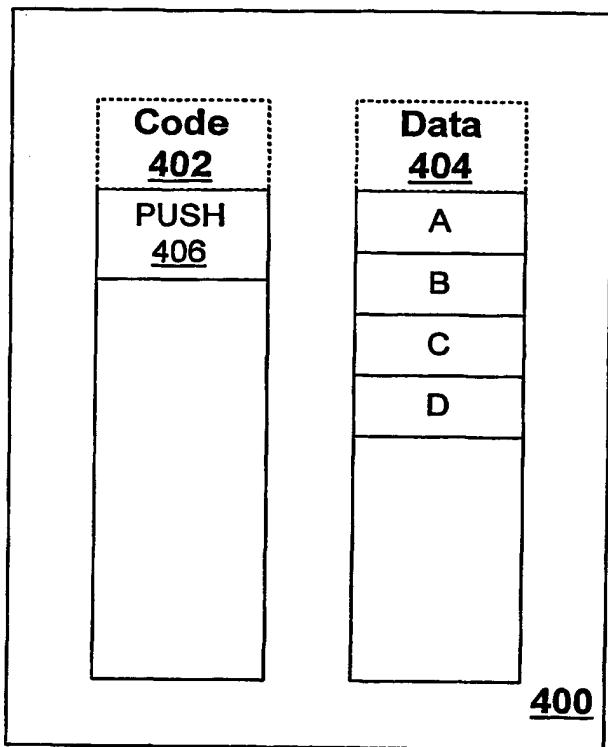


Fig. 2B



**Fig. 3**

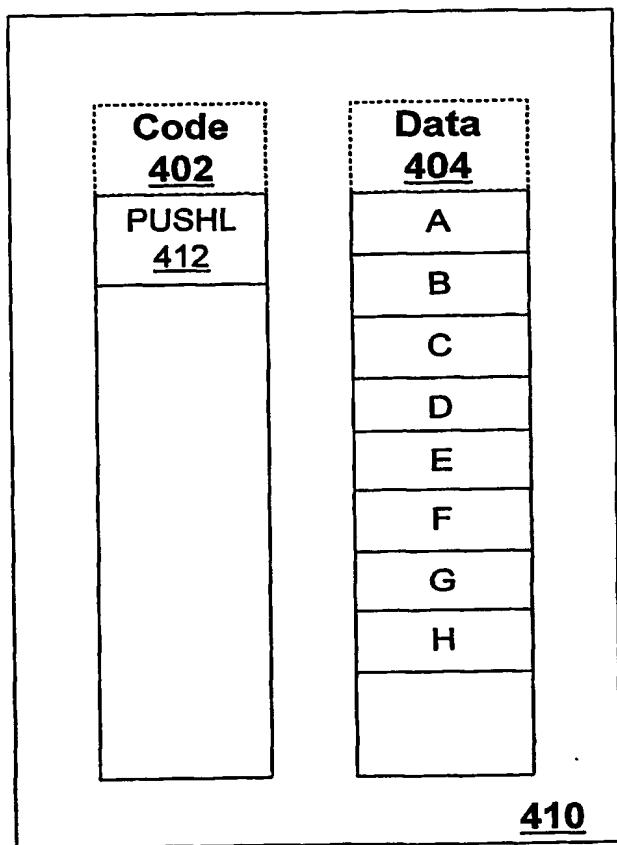


400

PUSH
fconst_0
fconst_1
fconst_2
sipush
ldc
ldc_w

**Fig. 4B**

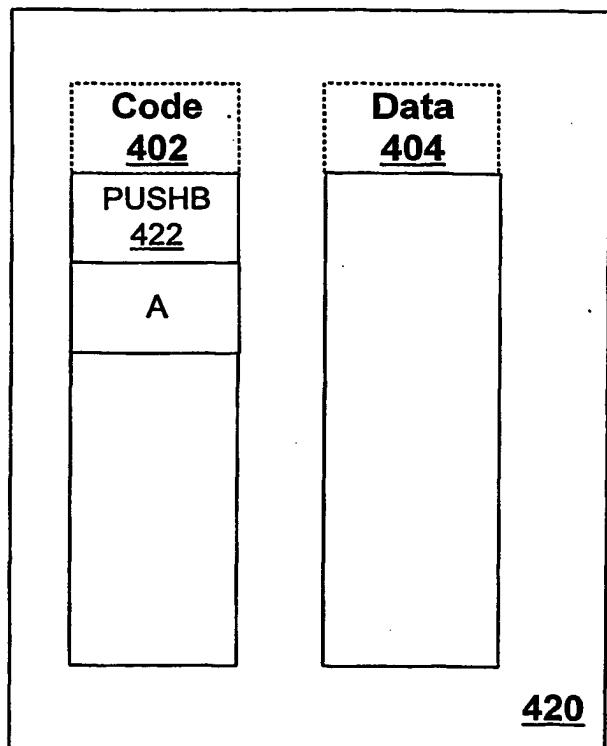
**Fig. 4A**



OP_PUSHL
lconst_0
lconst_1
dconst_0
dconst_1
ldc2_w

**Fig. 4C**

**Fig. 4D**

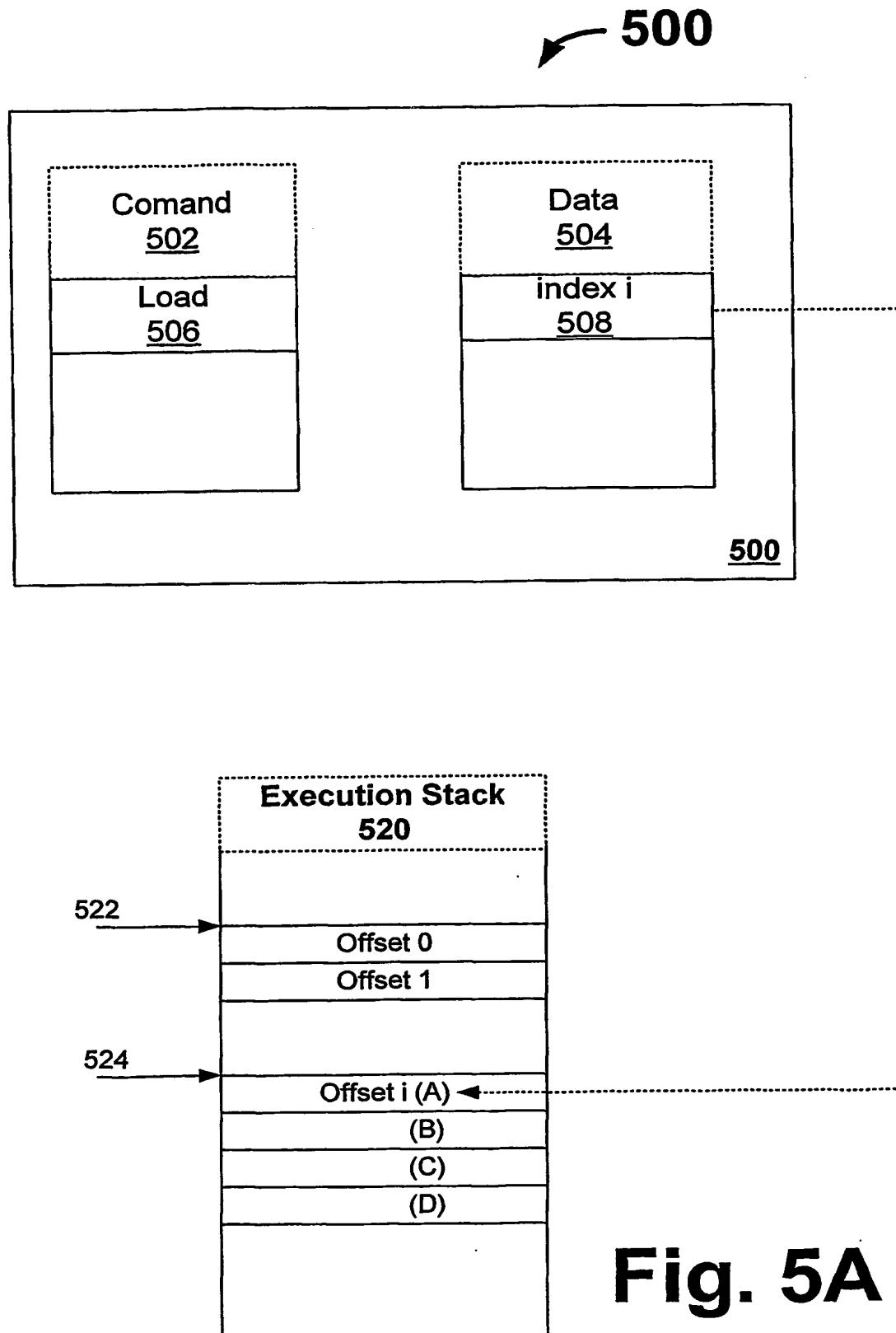


PUSHB

acconst null
iconst m1
iconst 0
iconst 1
iconst 2
iconst 3
iconst 5
bipush

**Fig. 4E**

**Fig. 4F**



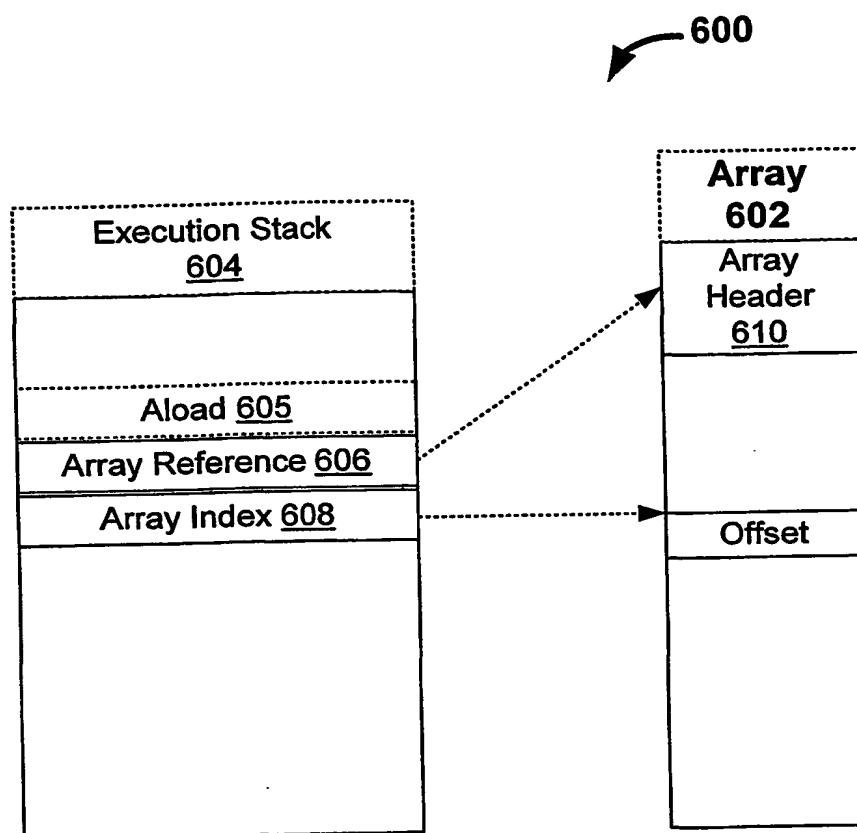
**LOAD**

iload
fload
aload
iload_0
iload_1
iload_2
iload_3
fload_1
fload_2
fload_3
aload_0
aload_1
aload_2
aload_3

**LOADL**

lload
dload
lload_0
lload_1
lload_2
lload_3
fload_0
dload_0
dload_1
dload_2
dload_3

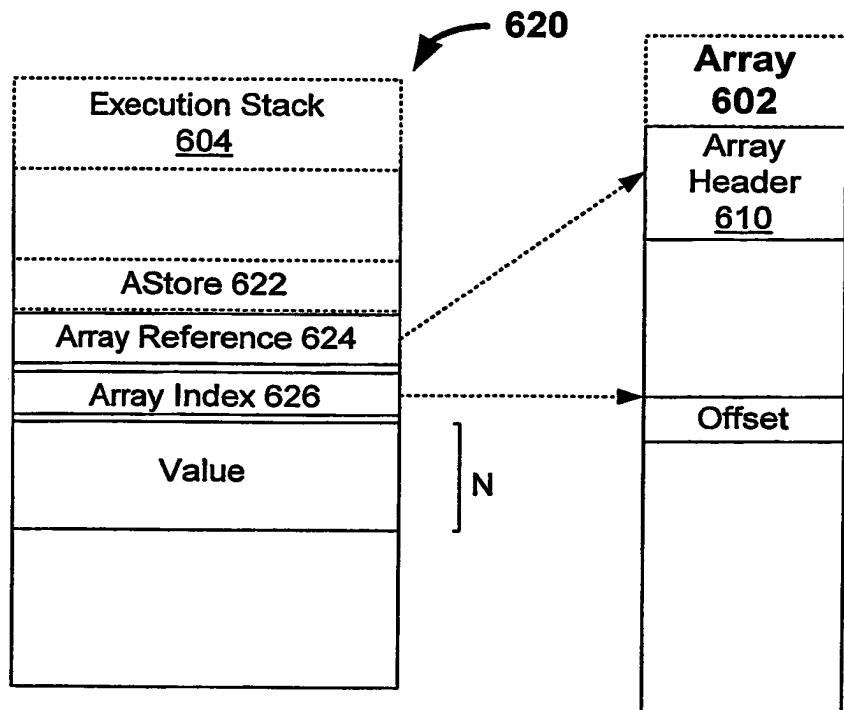
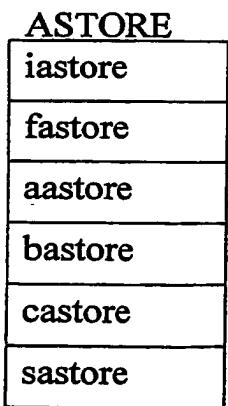
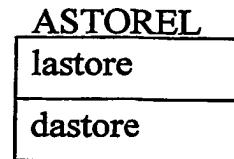
**Fig. 5B****Fig. 5C**



**Fig. 6A**

ALOAD
iaload
laload
faload
daload
aaload
baload
caload
saload

**Fig. 6B**

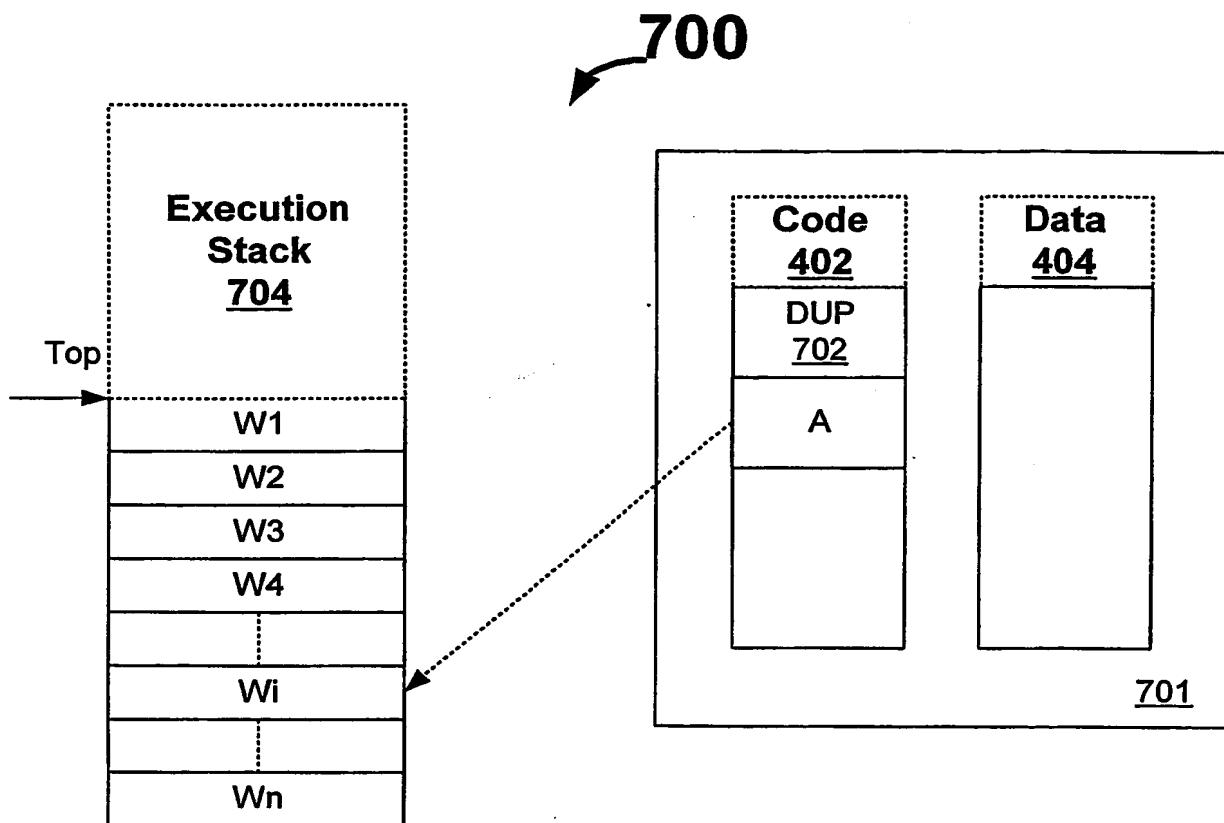
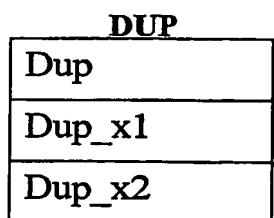
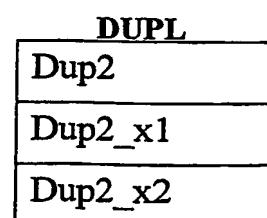
**Fig. 6C****Fig. 6 D****Fig. 6 E**

lcmp	OP_LSUB, OP_JMPEQ
fcmpl	OP_FSUB, OP_JMPLE
fcmpg	OP_FSUB, OP JMPGE
dcmpl	OP_DCMP, OP_JMPLE
dcmpg	OP_DCMP, OP JMPGE

## Fig. 6F

if_icmp eq	OP_ISUB, OP_JMPEQ
if_icmp ne	OP_ISUB, OP JMPNE
if_icmplt	OP_ISUB, OP_JMPLT
if_icmpge	OP_ISUB, OP JMPGE
if_icmpgt	OP_ISUB, OP JMPGT
if_icmple	OP_ISUB, OP_JMPLE
if_acmp eq	OP_ISUB, OP_JMPEQ
if_acmpne	OP_ISUB, OP JMPNE

## Fig. 6G

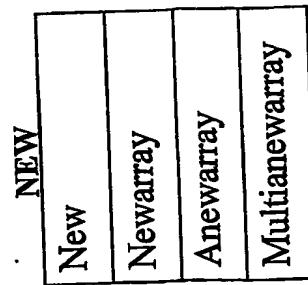
**Fig. 7A****Fig. 7B****Fig. 7C**

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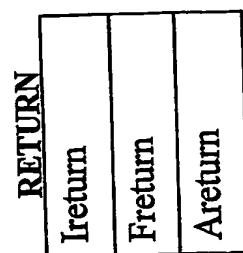
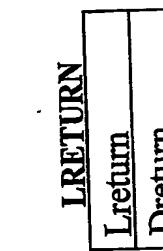
WO 02/077807

PCT/US02/09719

14/14



**Fig. 9**



**Fig. 8B**

**Fig. 8A**

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**INTERNATIONAL SEARCH REPORT**

International Application No  
**PCT/US 02/09719**

**A. CLASSIFICATION OF SUBJECT MATTER**  
**IPC 7 G06F9/455**

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)  
**IPC 7 G06F**

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, IBM-TDB

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>"J EXECUTABLE FILE FORMAT (JEFF)      SPECIFICATION, DRAFT"      J CONSORTIUM JEFF WORKING GROUP, 'Online!      22 February 2001 (2001-02-22), page 1-43      XP002208357</p> <p>Retrieved from the Internet:      &lt;URL:<a href="http://web.archive.org/web/20010222190836/">http://web.archive.org/web/20010222190836/</a><a href="http://www.j-consortium.org/jeffwg/jeff_spec_00_10_12.pdf">http://www.j-consortium.org/jeffwg/jeff_spec_00_10_12.pdf</a> or  <a href="http://www.j-consortium.org/jeffwg/jeff_sp">http://www.j-consortium.org/jeffwg/jeff_sp</a>      ec_00_10_12.pdf&gt; 'retrieved on 2002-08-01!      page 31, paragraph 4.1      page 39, paragraph 4.2.13      page 39, paragraph 4.2.14      page 40-42</p> <p>---</p> <p>-/-</p>	1-9, 11-20
Y	<p>page 31, paragraph 4.1      page 39, paragraph 4.2.13      page 39, paragraph 4.2.14      page 40-42</p> <p>---</p> <p>-/-</p>	10

Further documents are listed in the continuation of box C.

Patent family members are listed in annex.

\* Special categories of cited documents :

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- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

- \*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- \*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- \*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
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Date of the actual completion of the international search

6 August 2002

Date of mailing of the international search report

20/08/2002

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Krischer, S

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**INTERNATIONAL SEARCH REPORT**

International Application No  
 PCT/US 02/09719

**C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT**

Category	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	<p>MCNELEY K J ET AL: "EMULATING A COMPLEX INSTRUCTION SET COMPUTER WITH A REDUCED INSTRUCTION SET COMPUTER"          IEEE MICRO, IEEE INC. NEW YORK, US,          vol. 7, no. 1, February 1987 (1987-02),          pages 60-71, XP000827611          ISSN: 0272-1732          page 62, right-hand column, paragraph 2          ----</p>	1-9, 11-20
Y	<p>JEAN-PAUL BILLON: "JEFFWEG4 (J Executable File Format) Release 1.0 Achievements, 9/29/2000"          J CONSORTIUM JEFF WORKING GROUP, 'Online!          22 February 2001 (2001-02-22), page 1-24          XP002208358          Retrieved from the Internet:          &lt;URL:<a href="http://web.archive.org/web/20010222190836/http://www.j-consortium.org/jeffwg/jeffwg4.pdf">http://web.archive.org/web/20010222190836/http://www.j-consortium.org/jeffwg/jeffwg4.pdf</a> or  <a href="http://www.j-consortium.org/jeffwg/jeffwg4.pdf">http://www.j-consortium.org/jeffwg/jeffwg4.pdf</a>&gt; 'retrieved on 2002-08-01!          page 6          ----</p>	10

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